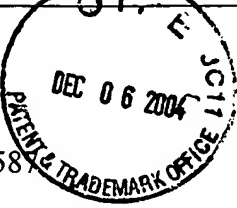
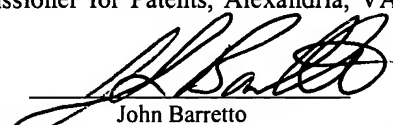


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kennedy, T. Scott Application No: 10/699,587 Filed: October 31, 2003 For: <i>Trays for Growth Plugs, and Methods of Producing Them</i>	 Examiner: Alimenti, S.C. Art Unit: 3644 Attorney Docket No.: RCX-022.01
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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing (37 CFR 1.8(a))	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, Alexandria, VA 22313-1450, on the date set forth below.	
<u>December 3, 2004</u> Date of Signature and Mail Deposit	By:  John Barretto

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed as AA-BH, on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. The application was filed after June 30, 2003; therefore, copies of References AA-AY are not provided. Copies of references AZ-BH are enclosed.

12/07/2004 HALI11 00000047 10699587

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REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office Action** on the merits, or before the mailing of a **first Office Action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☒ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee, AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]**:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



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Form PTO-1449

INFORMATION DISCLOSURE CITATION**IN AN APPLICATION***(Use several sheets if necessary)*

Docket Number (Optional)

RCX-022.01

Application Number

10/699,587

Applicant

Kennedy, T. Scott

Filing Date

October 31, 2003

Group Art Unit

3644

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	3,664,062	5/23/72	Danielson	47	34.13	9/29/70
AR	4,043,077	8/23/77	Stonehocker	47	66	5/10/76
AT	4,216,622	8/12/80	Hollenbach et al.	43	71	9/19/78
AD	4,510,712	4/16/85	Whitcomb	47	66	5/17/82
AE	4,658,542	4/21/87	Holmberg	47	86	2/24/86
AF	4,854,075	8/8/89	Greiling	47	73	12/3/87
AG	4,982,527	1/8/91	Sprung	47	59	10/25/88
AH	4,999,946	3/19/91	DeGiglio et al.	47	73	9/11/89
AI	5,179,800	1/19/93	Huang	47	73	6/14/91
AJ	5,209,109	5/11/93	Chamoulaud	73	73	
AK	5,274,953	1/4/94	Nicholson	47	87	7/22/92
AL	5,298,041	3/29/94	Huang	47	58	1/22/91
AM	5,481,825	1/9/96	Aoyama	47	18	10/20/94
AN	5,581,936	12/10/96	Belgiorno	47	66	6/27/95
AO	5,664,370	9/9/97	Boudreau et al.	47	87	3/8/96
AP	5,890,318	4/6/99	Hammerie	47	87	2/6/96
AQ	5,934,018	8/10/99	Thomas	47	87	7/30/98
AR	5,957,821	9/28/99	Scharbrodt et al.	493	11	11/12/97
AS	6,085,462	7/11/00	Thomas	47	87	8/24/94
AT	US 6,266,921 B1	7/31/01	Keskilohko	47	86	7/30/99
AU	US 6,339,902 B1	1/22/02	Holmberg	47	87	4/3/00
AV	Des. 324,192	2/25/92	Waltel, Jr.	D11	164	3/16/90
AW	Des. 323,415	1/21/92	Iverson	D34	46	7/17/89
AX	Des. 432,455	10/24/00	Rosenberg	D11	152	10/22/99
AY	US 2002/0066227 A1	6/6/02	Wood-Phillips	47	66.5	12/1/00

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
AZ	WO 88/03747	6/2/88	PCT			X	

Form PTO-1449

INFORMATION DISCLOSURE CITATION**IN AN APPLICATION**
(Use several sheets if necessary)Docket Number (Optional)
RCX-022.01Application Number
10/699,587Applicant
Kennedy, T. ScottFiling Date
October 31, 2003Group Art Unit
3644

	BA	WO 93/19586	10/14/93	PCT			X	
	BB	WO 99/16607	4/8/99	PCT			X	
	BC	0 183 653 B1	11/29/85	EPO				X
	BD	0 254 434	6/26/87	EPO			X	
	BE	0 464 954 A2	7/3/91	EPO			X	
	BF	AU-A-83156/87	12/30/86	Australia			X	
	BG	1015638	7/6/00	Netherlands				X
	BH	2 212 376 A	11/18/88	UK				X

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE